

# Institute for Neurological Research

*a private medical group, inc*

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(310) 824-6191



*Anti-TNF treatment for disc-related pain*

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## Introduction

The Institute for Neurological Research®, a private medical group, inc. (**INR**) is pleased to provide this detailed introduction to its pioneering medical concepts, research, and treatment programs.

Cascading basic science and clinical evidence now supports a key role of the inflammatory cytokine, **TNF-alpha**, in the pathogenesis of disc-related back pain. This is perhaps not surprising, in view of the fact that the intervertebral disc has been demonstrated to contain a substance, the nucleus pulposus, which is a rich source of excess TNF-alpha. Disc-related pain, therefore, joins a long list of conditions, including rheumatoid arthritis, Crohn's Disease, psoriasis, and Alzheimer's Disease, which affect tens of millions of people across the globe, in which there is evidence that inflammation is initiated, maintained, or amplified by TNF-alpha.

The **INR** is unique in that it has pioneered, refined, and developed new methods of anti-TNF treatment for patients with a variety of conditions involving neurologic inflammation. The INR has active and on-going **anti-TNF treatment programs** for selected patients with disc-related back pain, neck pain, sciatica and related disorders in which excess TNF-alpha has been implicated as a causative factor.

The INR is available to evaluate patients with severe back pain, neck pain, or sciatica who have failed to adequately respond to medical treatment:

### **Non-surgical, anti-TNF treatment at the INR for disc-related pain:**

**Low Back Pain**

**Neck Pain**

**Sciatica**

The INR welcomes telephone inquiries from physicians, patients, or family members with questions regarding its treatment programs or research.

In addition, the INR welcomes inquiries from academic medical centers, and biotechnology or pharmaceutical companies which have interest in anti-TNF research related to the causation and treatment of disc-related pain. The INR is currently conducting collaborative research with academic medical centers both in the U.S. and abroad.

The INR may be contacted directly by calling (310) 824-6199, by facsimile to (310) 824-6196, or by e-mail to [info@nrimed.com](mailto:info@nrimed.com). The website of the INR® is [www.nrimed.com](http://www.nrimed.com).

## **Appointments at the INR**

Consultation and treatment with an INR physician is by appointment only. Appointments can be scheduled by calling the INR at (310) 824-6199, Monday through Friday, 8 AM to 5 PM.

The Institute for Neurological Research, a private medical group, inc., is located at 100 UCLA Medical Plaza, Suites 205-210, Los Angeles, California 90095. Convenient, in-building, underground parking is available.

For map and directions, please see page 22.

## **Referrals to the INR**

The INR welcomes referrals from neurologists, geriatricians, internists, family physicians, other health care providers, family members, or patients with disc-related pain. Disc-related pain includes patients with chronic, severe back pain, neck pain, or sciatica, which is associated with a disc problem, which may include disc protrusion, disc bulge, disc herniation, disc prolapse, annular tear of the disc capsule, or degenerative disc disease, involving one or more of the intervertebral discs of the spine. Individual treatment recommendations are only made following physician evaluation, including history, physical examination, and review of imaging studies.

The INR welcomes telephone inquiries from physicians and family members. In particular, the INR encourages telephone inquiry and discussion with an INR physician for those patients referred from locations at a geographic distance from Los Angeles prior to appointment scheduling. This is recommended especially for those patients who will be flying across country or from overseas for treatment at the INR, due to the special nature of the services provided at the INR, and the unique experience which the INR has performing anti-TNF treatment for patients with disc-related pain. Anti-TNF treatment is non-surgical and is performed at the INR without need for anesthesia.

The INR encourages referrals of patients with the following diagnoses who have failed to respond adequately to medical or surgical treatment:

### **Anti-TNF treatment for Chronic Pain Program:**

**Low Back Pain**

**Neck Pain**

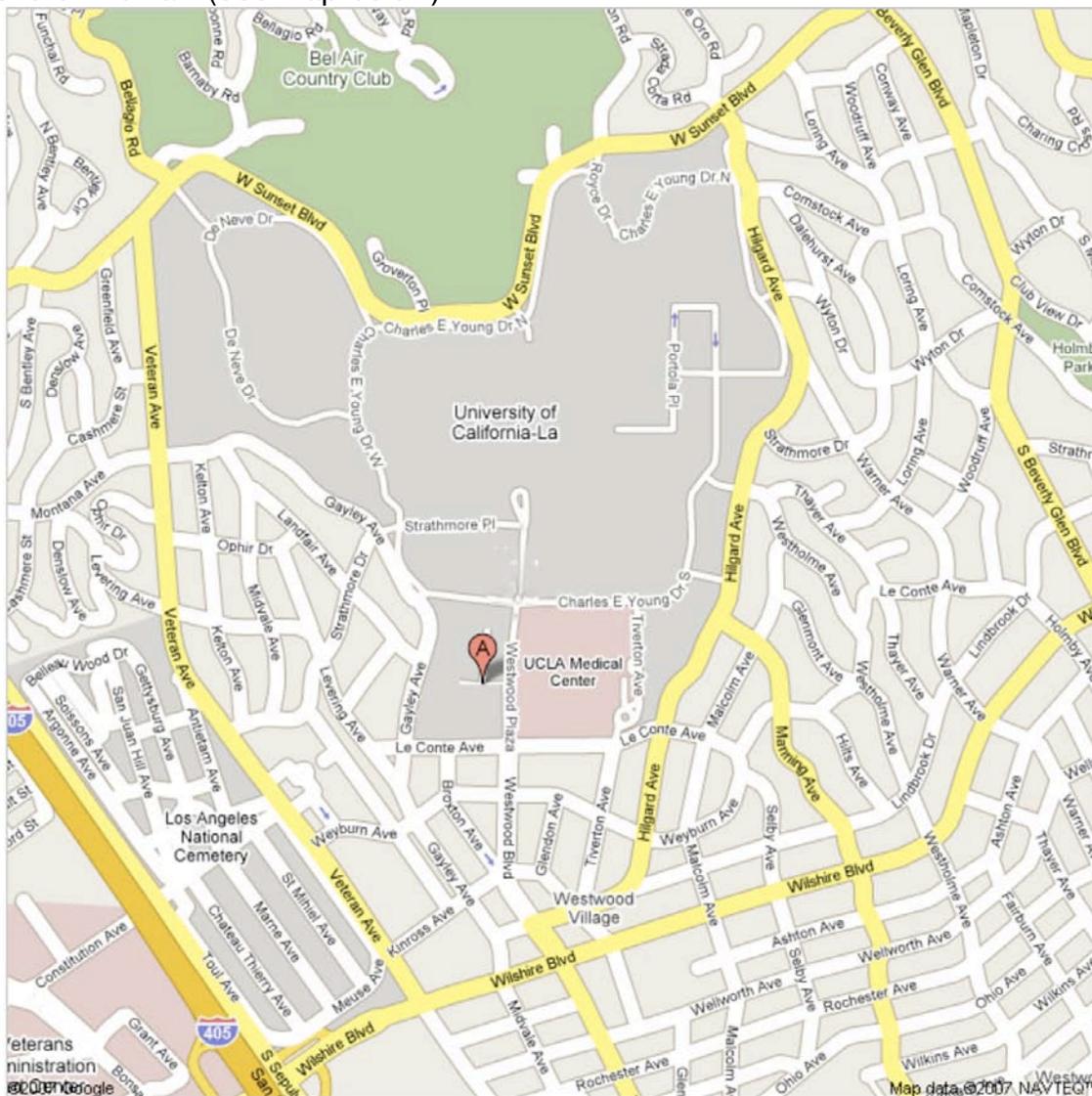
**Sciatica**

**Pain due to disc disorders, including disc herniation, protrusion,  
bulge, annular tear or degenerative disc disease**

## Map and Directions to the Institute for Neurological Research, a private medical group, inc.

The INR is located at 100 UCLA Medical Plaza, Suites 205-210, Los Angeles, California 90095. Appointments can be made by calling (310) 824-6199 Monday through Friday 8 AM to 5 PM. The Medical Plaza is on the southern edge of the campus, adjacent to the Medical Center and the Jules Stein Eye Center.

From the San Diego Freeway (Interstate 405) take the Wilshire Boulevard exit going east. Travel east on Wilshire about 3 blocks to Westwood Boulevard. Make a left onto Westwood Boulevard, traveling north thru the village of Westwood about 6 blocks. Cross over Le Conte Boulevard, onto the campus. The Medical Plaza is on your left, just north of Le Conte. Make a left turn onto Medical Plaza Drive. Park underneath the 100 Building, take the elevator to the second floor, then proceed to Suite 210 at the end of the hall. (See map below).



### A. Institute-Neurological Research

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## Scientific Background: Excess TNF-alpha and neurologic inflammation

Inflammation is centrally involved in the causation of many neurologic disorders, including disc-related pain and Alzheimer's Disease. Inflammation is produced, amplified, and maintained by certain substances, called cytokines, which are released by cells of the immune system. One of these cytokines, called tumor necrosis factor-alpha, has been named the "master regulator" of the inflammatory response because of the key role it plays in initiating and increasing inflammation, in all of the organ systems of the human body, including the brain, the spinal cord, and the nerve roots.

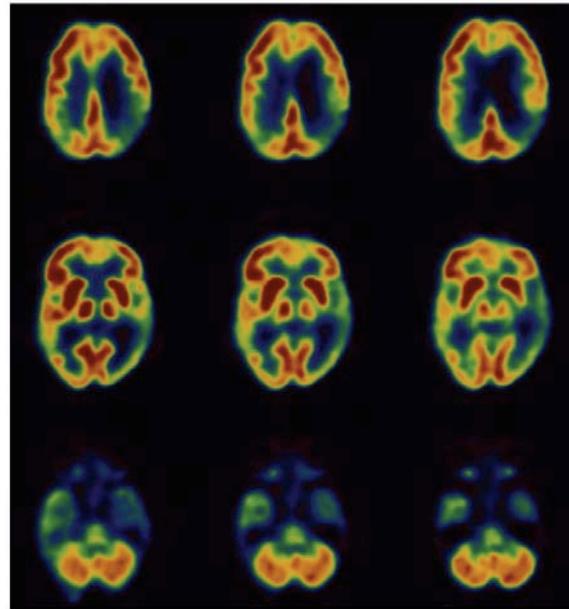
Excess TNF-alpha is present in the cerebrospinal fluid in patients with Alzheimer's Disease, and has been implicated in the development of severe back, neck, and leg pain (sciatica) which may occur following disc herniation. In Alzheimer's excess TNF-alpha may interfere with brain function, and may negatively influence fundamental brain mechanisms involving amyloid and memory.

In 1998 specific biologic inhibitors of excess TNF-alpha became available for human use in the U.S. One of these TNF-alpha inhibitors, etanercept, has become the largest selling biologic in the world, with worldwide 2006 sales in excess of \$4 billion.

The INR has invented, refined, and pioneered new methods of use of etanercept for the treatment of a variety of neurologic disorders, including disc-related back and neck pain, sciatica, and Alzheimer's Disease. These methods of use of etanercept are patented inventions of the INR, and are neither sponsored by nor endorsed by UCLA; rather all rights to these methods are retained exclusively by the INR. U.S. patents 6015557, 6177077, 6419934, 6419944, 6471961, 6537549, 6982089, and 7214658; with additional issued and pending U.S. and foreign patents.

### Selected references

- Tobinick, E., H. Gross, A. Weinberger, H. Cohen, *TNF-alpha modulation for treatment of Alzheimer's disease: a 6-month pilot study*. MedGenMed, 2006. **8**(2): p. 25.
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**Figure 1.** PET Scan demonstrating decreased cortical activity in a patient with mild Alzheimer's Disease.

## Non-surgical treatment at the INR for disc-related pain

Summary:

*Treatment utilizing local administration of etanercept, an anti-TNF therapeutic, is a non-surgical alternative to more invasive methods of treatment for selected patients with chronic, severe disc-related pain. This anti-TNF treatment was invented at the INR.*

Severe back pain due to disc disorders affects millions each year in the U.S. alone. In most cases the pain resolves within four weeks and return to work and full function is possible. But for a significant minority, in the range of 20%, pain and disability persists for more than six weeks. The standard treatment options for chronic severe back pain due to a disc disorder includes spinal surgery; epidural steroid injections; or pain management, usually with opioids. Each of these options is fraught with difficulties. Spinal surgery includes discectomy, fusion surgery, or artificial disc replacement, each with it's own limitations, risks, and complications. Epidural steroid injections, if they are effective at all, rarely provide more than short-term relief, are painful, and can have serious complications. Pain management does nothing to alter the natural history of the underlying process, and chronic use of drugs entails expense and side effects.

Advances in research have now revealed a new therapeutic target in patients with severe pain due to disc disorders: the inflammatory cytokine tumor necrosis factor-alpha (TNF). TNF is centrally involved in the pain and nerve dysfunction produced by common disc disorders, such as disc herniation, disc protrusion, disc bulge, and annular tears of the disc capsule. These disc disorders result in exposure of adjacent spinal nerve roots to excess levels of TNF, which has been shown in experimental models to produce both pain and nerve damage and dysfunction.

The INR has invented, refined, and pioneered a new method of non-surgical treatment for severe, intractable pain produced by these disc disorders using a specific molecule, etanercept, which reverses the effects of excess TNF. Used by more than 450,000 patients for its labeled indications, which include rheumatoid arthritis and psoriasis, a skin disorder, etanercept binds to and inactivates excess TNF. Rapid and prolonged relief of low back pain, neck pain, and



**Figure 2.** Large protrusion of the L4-5 intervertebral disc, MRI of the lumbar spine. MRI of a patient treated with a single dose of perispinal etanercept at the INR in 2001. MRI from *Perispinal TNF-alpha inhibition for discogenic pain*, SMW March 2003. 133(11-12): p. 170-7.

sciatica, utilizing a new method of administration of etanercept developed by the INR, has been described in a series of peer-reviewed, published scientific articles authored by INR physicians. The INR has more than seven years of experience with this treatment method, in more than 3,000 patients.

### *Selected references*

- Tobinick, E. and S. Davoodifar, *Efficacy of etanercept delivered by perispinal administration for chronic back and/or neck disc-related pain: a study of clinical observations in 143 patients*. Curr Med Res Opin, 2004. 20(7): p. 1075-85.
- Sommer, C., et al., *Etanercept reduces hyperalgesia in experimental painful neuropathy*. J Peripher Nerv Syst, 2001. 6(2): p. 67-72.
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- \*Myers, R.R., W.M. Campana, and V.I. Shubayev, *The role of neuroinflammation in neuropathic pain: mechanisms and therapeutic targets*. Drug Discov Today, 2006. 11(1-2): p. 8-20.
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- Shubayev, V.I. and R.R. Myers, *Upregulation and interaction of TNFalpha and gelatinases A and B in painful peripheral nerve injury*. Brain Res, 2000. 855(1): p. 83-9.
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- Burke, J.G., et al., *Intervertebral discs which cause low back pain secrete high levels of proinflammatory mediators*. J Bone Joint Surg Br, 2002. 84(2): p. 196-201.

\*Articles marked with an asterisk contain a citation to a scientific publication of the INR.

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The INR has invented, refined, and pioneered new methods of use of etanercept for the treatment of a variety of neurologic disorders, including disc-related back and neck pain, sciatica, and Alzheimer's Disease. These methods of use of etanercept are patented inventions of the INR, and are neither sponsored by nor endorsed by UCLA; rather all rights to these methods are retained exclusively by the INR. U.S. patents 6015557, 6177077, 6419934, 6419944, 6471961, 6537549, 6982089, and 7214658; with additional issued and pending U.S. and foreign patents.

## Back Pain

**Common symptoms:** chronic, severe low back pain present all day for weeks, months, or years; may radiate to the buttocks, thighs, knee, calf, or foot. May worsen with prolonged sitting or standing. The pain is debilitating.

**MRI findings:** Disc bulge, protrusion, herniation, or annular tear involving one or more lumbar discs, most commonly L4-5 or L5-S1. A single small bulge may be associated with severe pain.

Chronic, severe back pain which has failed to adequately respond to medical or surgical treatment is the most common problem treated by the INR utilizing its specific anti-TNF approach. Pain may be localized to the low back, or may radiate to the buttocks, hips, thigh, or down the leg below the knee (sciatica). In our patients the pain has usually been constant, severe, and debilitating, and has not been controlled with any treatment attempts made prior to their visit to the INR, including epidural steroid injections. Pain may be associated with back or leg weakness or numbness (lumbar radiculopathy). Patients wishing to be evaluated are seen in consultation at the INR. Patients with active infection, tuberculosis, lymphoma, demyelinating disease (such as multiple sclerosis), or uncontrolled diabetes are ineligible for treatment. The patient is examined, and MRI records are reviewed. Patients are encouraged to bring both their MRI films and their MRI reports to their consultation, if possible. Anti-TNF treatment may be performed on the same day as the consultation, and is a brief, in-office procedure. Following treatment patients are encouraged to immediately resume their usual daily activities.

**Literature review: Excess TNF-alpha is centrally involved in disc-related back pain. Localized administration of etanercept, a brief, in-office, non-surgical technique invented at the INR, may result in rapid relief of pain.**

### *Selected references*

- Tobinick, E. and S. Davoodifar, *Efficacy of etanercept delivered by perispinal administration for chronic back and/or neck disc-related pain: a study of clinical observations in 143 patients*. *Curr Med Res Opin*, 2004. **20**(7): p. 1075-85.
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\*Articles marked with an asterisk contain a citation to a scientific publication of the INR.

## Sciatica

**Common symptoms: chronic, severe pain in a leg below the knee, often with radiation to the calf, ankle, or foot. Often accompanied by severe back pain. Present all day for weeks, months, or years; may radiate to the buttocks or thighs. May worsen with prolonged sitting or standing. The pain is debilitating.**

**MRI findings: Disc bulge, protrusion, herniation, or annular tear involving one or more lumbar discs, most commonly L4-5 or L5-S1. A single small bulge may be associated with severe pain. Pain due to an annular tear, without a disc protrusion, is often undiagnosed for years.**

Patients with chronic, severe back pain due to disc disorders often have pain which radiates down one or both legs to the calf or into the foot. This pain is called sciatica, and may be accompanied by sensory changes (numbness) or motor difficulties, resulting in weakness or a limp. The majority of our patients with sciatica have also had concurrent back pain. As with our back pain patients, the pain has usually been constant, severe, and debilitating, and has not been controlled with any treatment attempts made prior to their visit to the INR, including epidural steroid injections. Consultation, evaluation, and anti-TNF treatment are managed in the same way as for patients with chronic severe back pain.

**Literature review: Excess TNF-alpha is centrally involved in disc-related sciatica. Localized administration of etanercept, a brief, in-office, non-surgical technique invented at the INR, may result in rapid relief of pain.**

### *Selected references*

- Tobinick, E. and S. Davoodifar, *Efficacy of etanercept delivered by perispinal administration for chronic back and/or neck disc-related pain: a study of clinical observations in 143 patients*. Curr Med Res Opin, 2004. 20(7): p. 1075-85.
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\*Articles marked with an asterisk contain a citation to a scientific publication of the INR.

## Disc-related Neck Pain

**Common symptoms: chronic, severe pain in the neck, often with radiation to the shoulder, arm, or hand. Present all day for weeks, months, or years; may be accompanied by severe back pain. May worsen with prolonged sitting or standing. The pain is debilitating.**

**MRI findings: Disc bulge, protrusion, herniation, or annular tear involving one or more cervical discs, most commonly C4-5 or C5-6. A single small bulge may be associated with severe pain. Pain due to an annular tear, without a disc protrusion, is often undiagnosed for years.**

Common disc disorders, such as disc herniation, disc protrusion, disc bulge, and annular tears of the disc capsule also may affect the neck, producing severe, chronic pain which fails to adequately respond to medical treatment. Disc disorders in the neck often produce pain which radiates to the trapezius, shoulder, or down an arm, and may be associated with hand and arm weakness or numbness (cervical radiculopathy), or headache (cervicogenic headache). Consultation, evaluation, and anti-TNF treatment are managed in the same way as for patients with chronic severe back pain or sciatica.

**Literature review: Excess TNF-alpha is centrally involved in disc-related neck pain. Localized administration of etanercept, a brief, in-office, non-surgical technique invented at the INR, may result in rapid relief of pain.**

### *Selected references*

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- \*Myers, R.R., W.M. Campana, and V.I. Shubayev, *The role of neuroinflammation in neuropathic pain: mechanisms and therapeutic targets*. Drug Discov Today, 2006. 11(1-2): p. 8-20.
- \*Bhargava, A., et al., *Injection Therapy for Lumbar Radiculopathy*. Current Opinion in Orthopedics, 2005. 16: p. 152-157.
- \*Cohen, S.P., et al., *Lumbar discography: a comprehensive review of outcome studies, diagnostic accuracy, and principles*. Reg Anesth Pain Med, 2005. 30(2): p. 163-83.
- \*Sommer, C. and M. Schafers, *Mechanisms of neuropathic pain: the role of cytokines*. Drug Discovery Today: Disease Mechanisms, 2004. 1(4): p. 441-448.
- Takahashi, N., et al., *TNF-alpha and phosphorylation of ERK in DRG and spinal cord: insights into mechanisms of sciatica*. Spine, 2006. 31(5): p. 523-9.
- Olmarker, K. and B. Rydevik, *Selective inhibition of tumor necrosis factor-alpha prevents nucleus pulposus-induced thrombus formation, intraneural edema, and reduction of nerve conduction velocity: possible implications for future pharmacologic treatment strategies of sciatica*. Spine, 2001. 26(8): p. 863-9.

\*Articles marked with an asterisk contain a citation to a scientific publication of the INR.

## **INR U.S. issued patents**

The following U.S. patents have issued to Edward Tobinick, MD, Medical Director of the INR (multiple additional patents, both foreign and domestic, are pending) (listed in reverse chronological order based upon issue date):

[7,214,658](#) [Method of delivering a TNF antagonist to the brain of a human by perispinal administration without direct intrathecal injection](#)

[6,982,089](#) [Cytokine antagonists for neurological and neuropsychiatric disorders](#)

[6,623,736](#) [Interleukin antagonists for the treatment of neurological, retinal and muscular disorders](#)

[6,537,549](#) [Cytokine antagonists for the treatment of localized disorders](#)

[6,471,961](#) [Interleukin antagonists for the treatment of neurological, retinal and muscular disorders](#)

[6,428,787](#) [TNF inhibitors for the treatment of retinal disorders](#)

[6,423,321](#) [Cytokine antagonists for the treatment of sensorineural hearing loss](#)

[6,419,944](#) [Cytokine antagonists for the treatment of localized disorders](#)

[6,419,934](#) [TNF modulators for treating neurological disorders associated with viral infection](#)

[6,379,666](#) [TNF inhibitors for the treatment of neurological, retinal and muscular disorders](#)

[6,177,077](#) [TNF inhibitors for the treatment of neurological disorders](#)

[6,015,557](#) [Tumor necrosis factor antagonists for the treatment of neurological disorders](#)

## Scientific publications of the INR

The following are selected peer-reviewed, scientific publications (selected abstracts are included) that were authored by Edward Tobinick, MD, Medical Director of the INR:

1. Tobinick, E.L. and S. Britschgi-Davoodifar, *Perispinal TNF-alpha inhibition for discogenic pain*. Swiss Med Wkly, 2003. **133**(11-12): p. 170-7.

**OBJECTIVE:** To examine the potential of etanercept, a biological inhibitor of tumour necrosis factor-alpha (TNF), delivered by perispinal administration, for the treatment of pain associated with intervertebral disc disease. **METHODS:** Charts from 20 selected patients treated at our private clinic by perispinal delivery of etanercept 25 mg for severe, chronic, treatment-resistant discogenic pain were reviewed. Therapeutic benefit was assessed clinically and was documented by changes in a validated pain instrument, the Oswestry Disability Index. The patients were treated off-label with etanercept as part of our usual practice of medicine. Five detailed case reports are presented, including three additional patients. **RESULTS:** Rapid, substantial and sustained clinical pain reduction was documented in this selected group of patients. The cohort of 20 patients had a mean age of 56.5 and mean duration of pain of 116 months. Nine of the patients had undergone previous spinal surgery; 17 had received an epidural steroid injection or injections (mean 3.2). This group of patients received a mean of 1.8 doses (range 1-5, median 1.0) of etanercept during the observation period. The mean length of follow-up was 230 days. Clinical improvement was confirmed by a decrease in the calculated Oswestry Disability Index from a mean of 54.85 +/- 12.5 at baseline, improving to 17.2 +/- 15.3 ( $p < 0.003$ ) at 24 days and ending at 9.8 +/- 13 ( $p < 0.003$ ) at 230 days. **CONCLUSIONS:** TNF inhibition by etanercept delivered by perispinal administration may offer clinical benefit for patients with chronic, treatment-resistant discogenic pain. Further study of this new treatment modality is warranted.

2. Tobinick, E. and S. Davoodifar, *Efficacy of etanercept delivered by perispinal administration for chronic back and/or neck disc-related pain: a study of clinical observations in 143 patients*. Curr Med Res Opin, 2004. **20**(7): p. 1075-85.

3. Tobinick, E.L., *Targeted etanercept for treatment-refractory pain due to bone metastasis: two case reports*. Clin Ther, 2003. **25**(8): p. 2279-88.

**BACKGROUND:** Parallel bodies of research suggest both a central role for osteoclasts in tumor-induced destruction of bone and the ability of biologic tumor necrosis factor-alpha (TNF-alpha) antagonists to attenuate the osteoclast-mediated bone destruction that accompanies a variety of nonmalignant disorders. Additional studies have implicated TNF-alpha in the promotion of osteoclast-mediated malignant osteolysis and the pathogenesis of neuropathic pain. TNF-alpha antagonists have the potential to interfere in both processes. **OBJECTIVE:** This article reviews the cases of 2 patients with treatment-refractory pain due to cancer metastases to bone who were given targeted injections of the biologic anti-TNF agent etanercept based on its potential to interfere directly with both malignant activation of osteoclasts and neuropathic pain. **METHODS:** One patient had a diagnosis of non-small cell lung cancer and the other had a

diagnosis of breast cancer. Both presented with treatment-refractory pain due to bone metastases. The 2 patients received etanercept 25 mg by targeted SC injection in anatomic proximity to the site of spinal metastasis for relief of their treatment-refractory pain. RESULTS: Both patients experienced rapid, substantial, and sustained relief of chronic refractory pain at the treatment site after targeted administration of etanercept. Symptomatic improvement was correlated with objective measures of improvement, including weight gain in 1 patient and decreased uptake of radioactive tracer at the targeted site on positron emission tomography in the other. CONCLUSIONS: Etanercept delivered by targeted SC injection may be of clinical benefit in selected patients with treatment-refractory pain caused by bone metastases. Clinical trials are needed to define the potential benefit of biologic TNF-alpha antagonists in the treatment and prevention of malignant osteolysis.

4. Tobinick, E.L., *Targeted etanercept for discogenic neck pain: uncontrolled, open-label results in two adults*. Clin Ther, 2003. **25**(4): p. 1211-8.

BACKGROUND: Etanercept, a recombinant biologic anti-tumor necrosis factor (TNF)-alpha therapeutic, is approved for the treatment of certain autoimmune arthritides by subcutaneous (SC) injection. TNF-alpha has been suggested to play a central role in neuropathic pain and neuronal damage associated with intervertebral disc herniation. Directed local administration of etanercept, in anatomic proximity to the site of disc and neuronal abnormality, may result in an enhanced therapeutic response. OBJECTIVE: This study reviews findings from 2 patients with chronic, severe, discogenic cervical pain who were treated with a targeted cervical injection of etanercept with the objective of obtaining relief from their treatment-resistant pain. METHODS: In this uncontrolled, open-label study, the case histories of 2 patients (1 woman and 1 man) presenting with a history of chronic neck pain refractory to various treatments are reviewed. Both patients were treated with etanercept 25 mg by SC injection to the cervical region (case 1) or the posterior neck overlying the spine (case 2). RESULTS: Both patients experienced almost complete pain relief as assessed subjectively. In case 1, the Oswestry score decreased from 58 before treatment to 6 one day following treatment. In addition, 1 day after treatment the patient reported a subjective assessment of 98% pain improvement, 100% sensory improvement, and 100% weakness improvement. She has remained asymptomatic for >1 year. In case 2, the Oswestry score decreased from 44 before treatment to 4 two months after treatment. The patient reported 100% pain relief and 90% sensory improvement 1 day after treatment. At 8-month follow-up, pain improvement continued to be 100% and sensory improvements was 75%. CONCLUSIONS: Etanercept, delivered by targeted SC injection, may be of benefit for selected patients with resistant pain associated with cervical disc disease. Further study of this new treatment modality is warranted.

5. Tobinick, E., *Spinal delivery of p38: TNF-alpha inhibitors*. PLoS Med, 2006. **3**(11): p. e511.
6. Tobinick, E., H. Gross, A. Weinberger, H. Cohen, *TNF-alpha modulation for treatment of Alzheimer's disease: a 6-month pilot study*. MedGenMed, 2006. **8**(2): p. 25.

CONTEXT: Current pharmacologic treatments for Alzheimer's disease (AD) do not prevent long-term clinical deterioration. Tumor necrosis factor (TNF)-alpha, a proinflammatory cytokine, has been implicated in the pathogenesis of AD. OBJECTIVE: To investigate the use of a biologic TNF-alpha inhibitor, etanercept was given by perispinal extrathecal administration for the treatment of AD. METHODS: This was a prospective, single-center, open-label, pilot (proof-of-concept) study, in which 15 patients with mild-to-severe AD were treated for 6 months. We administered etanercept, 25-50 mg, once weekly by perispinal administration. Main outcome measures included the Mini-Mental State Examination (MMSE), the Alzheimer's Disease Assessment Scale-Cognitive subscale (ADAS-Cog), and the Severe Impairment Battery (SIB). RESULTS: The average age of our patient population was 76.7. The mean baseline MMSE was 18.2 (n = 15); the mean baseline ADAS-Cog was 20.8 (n = 11); and the mean baseline SIB was 62.5 (n = 5). There was significant improvement with treatment, as measured by all of the primary efficacy variables, through 6 months: MMSE increased by 2.13 +/- 2.23, ADAS-Cog improved (decreased) by 5.48 +/- 5.08, and SIB increased by 16.6 +/- 14.52. CONCLUSION: Increasing basic science and clinical evidence implicates inflammatory processes and resulting glial activation in the pathogenesis of AD. This small, open-label pilot study suggests that inhibition of the inflammatory cytokine TNF-alpha may hold promise as a potential approach to AD treatment. Further study in randomized, placebo-controlled clinical trials is merited.

7. Tobinick, E., *The cerebrospinal venous system: anatomy, physiology, and clinical implications*. MedGenMed, 2006. **8**(1): p. 53.

ABSTRACT: There is substantial anatomical and functional continuity between the veins, venous sinuses, and venous plexuses of the brain and the spine. The term "cerebrospinal venous system" (CSVS) is proposed to emphasize this continuity, which is further enhanced by the general lack of venous valves in this network. The first of the two main divisions of this system, the intracranial veins, includes the cortical veins, the dural sinuses, the cavernous sinuses, and the ophthalmic veins. The second main division, the vertebral venous system (VVS), includes the vertebral venous plexuses which course along the entire length of the spine. The intracranial veins richly anastomose with the VVS in the suboccipital region. Caudally, the CSVS freely communicates with the sacral and pelvic veins and the prostatic venous plexus. The CSVS constitutes a unique, large-capacity, valveless venous network in which flow is bidirectional. The CSVS plays important roles in the regulation of intracranial pressure with changes in posture, and in venous outflow from the brain. In addition, the CSVS provides a direct vascular route for the spread of tumor, infection, or emboli among its different components in either direction.

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The INR has invented, refined, and pioneered new methods of use of etanercept for the treatment of a variety of neurologic disorders, including disc-related back and neck pain, sciatica, and Alzheimer's Disease. These methods of use of etanercept are patented inventions of the INR, and are neither sponsored by nor endorsed by UCLA; rather all rights to these methods are retained exclusively by the INR. U.S. patents 6015557, 6177077, 6419934, 6419944, 6471961, 6537549, 6982089, and 7214658; with additional issued and pending U.S. and foreign patents.

## Citations to the scientific publications of the INR

The following peer-reviewed, scientific publications have cited one or more of the published studies of Edward Tobinick, MD, Medical Director of the INR:

1. Anderson, G.M., M.T. Nakada, and M. DeWitte, *Tumor necrosis factor-alpha in the pathogenesis and treatment of cancer*. *Curr Opin Pharmacol*, 2004.**4**(4): p. 314-20.
2. Aoki, Y., K. Takahashi, S. Ohtori, et al., *Neuropathology of Discogenic Low Back Pain: A Review*. *The Internet Journal of Spine Surgery*, 2005.**2**(1).
3. Aoki, Y., Y. Takahashi, S. Ohtori, et al., *Distribution and immunocytochemical characterization of dorsal root ganglion neurons innervating the lumbar intervertebral disc in rats: a review*. *Life Sci*, 2004.**74**(21): p. 2627-42.
4. Bhargava, A., M. DePalma, S. Ludwig, et al., *Injection Therapy for Lumbar Radiculopathy*. *Current Opinion in Orthopedics*, 2005.**16**: p. 152-157.
5. Bianco, E., S. Bistazzoni, M. Biondi, et al. *Linea guida: Appropriatezza della diagnosi e del trattamento chirurgico dell'ernia del disco lombare sintomatica*. in *Linea guida: Italy*. 2005. Italy: PNLG.
6. Cohen, S.P., T.M. Larkin, S.A. Barna, et al., *Lumbar discography: a comprehensive review of outcome studies, diagnostic accuracy, and principles*. *Reg Anesth Pain Med*, 2005.**30**(2): p. 163-83.
7. Cole, P. and X. Rabasseda, *The soluble tumor necrosis factor receptor etanercept: a new strategy for the treatment of autoimmune rheumatic disease*. *Drugs Today (Barc)*, 2004.**40**(4): p. 281-324.
8. Furst, D.E., F.C. Breedveld, J.R. Kalden, et al., *Updated consensus statement on biological agents for the treatment of rheumatic diseases, 2006*. *Ann Rheum Dis*, 2006.**65** **Suppl 3**: p. iii2-iii15.
9. Hildebrandt, A., O. Airaksinen, J. Brox, et al., *European guidelines for the management of chronic non-specific low back pain (Spanish version) (de la version espanola)*. On behalf of the COST B13 Working Group on Guidelines for Chronic Low Back Pain, 2005.
10. Irving, G., V. Goli, and E. Dunteman, *Novel pharmacologic options in the treatment of neuropathic pain*. *CNS Spectrum*, CME Accredited roundtable monograph, 2004.
11. Karppinen, J., T. Korhonen, A. Malmivaara, et al., *Tumor necrosis factor-alpha monoclonal antibody, infliximab, used to manage severe sciatica*. *Spine*, 2003.**28**(8): p. 750-3; discussion 753-4.
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13. Lai, S.T., *Treatment of severe acute respiratory syndrome*. *Eur J Clin Microbiol Infect Dis*, 2005.**24**(9): p. 583-91.
14. Mulleman, D., S. Mammou, I. Griffoul, et al., *Pathophysiology of disk-related low back pain and sciatica. II. Evidence supporting treatment with TNF-alpha antagonists*. *Joint Bone Spine*, 2006.**73**(3): p. 270-7.

15. Myers, R.R., W.M. Campana, and V.I. Shubayev, *The role of neuroinflammation in neuropathic pain: mechanisms and therapeutic targets*. Drug Discov Today, 2006.**11**(1-2): p. 8-20.
16. Oliveri, C. and R. Polosa, *Etanercept in chronic severe asthma*. Thorax, 2006.**61**(7): p. 640; author reply 640.
17. Owlia, M.B., A. Salimzadeh, G. Alishiri, et al., *Comparison of two doses of corticosteroid in epidural steroid injection for lumbar radicular pain*. Singapore Med J, 2007.**48**(3): p. 241-5.
18. Pasternack, F.R., L.P. Fox, and D.E. Engler, *Silicone granulomas treated with etanercept*. Arch Dermatol, 2005.**141**(1): p. 13-5.
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26. Tang, J. and R. Chan, *Severe acute respiratory syndrome(SARS) in intensive care units(ICUs): limiting the risk to healthcare workers*. Current Anaesthesia & Critical Care, 2004.**15**(3): p. 143-155.
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30. Yaksh, L. and L. Sorkin, *Mechanisms of Neuropathic Pain*. Current Medicinal Chemistry-Central Nervous System Agents, 2005.**5**(2): p. 129-140.
31. Yan, L., G.M. Anderson, M. Dewitte, et al., *Therapeutic potential of cytokine and chemokine antagonists in cancer therapy*. Eur J Cancer, 2006.**42**(6): p. 793-802.

## EDWARD TOBINICK MD

Edward Tobinick is a U.S. physician who has invented novel treatment approaches for a variety of medical conditions with widespread unmet medical need[1,2,3]. These conditions include several forms of severe back and neck pain (including sciatica and cervical radiculopathy); Alzheimer's Disease and other forms of dementia; a variety of additional neurological disorders; and pain due to cancer metastasis to the spine. Common to all of these conditions is the central involvement of inflammatory processes. Through May 2007 Dr. Tobinick has been awarded twelve U.S. patents for these new methods of treatment. His published papers have been cited in more than 150 scientific articles from leading academic centers around the world. His scientific work has been presented at medical conferences both in the U.S. and abroad. Major academic medical centers are currently involved in collaborative research with him to investigate his unique methods designed to deliver large molecules across the blood-brain barrier. In 2007 the anti-TNF pilot study for Alzheimer's Disease[1] conducted by Dr. Tobinick and his colleagues was recognized and cited by the prestigious Dana Alliance for Brain Initiatives in their Progress Report on Brain Research[4].

Dr. Tobinick graduated from Brandeis University in Waltham, Massachusetts, received his M.D. from the University of California San Diego School of Medicine in La Jolla, California, and completed post-graduate residencies at UCLA. He is currently the Medical Director of the Institute for Neurological Research, a private medical group, inc. at 100 UCLA Medical Plaza in Los Angeles and Assistant Clinical Professor of Medicine at UCLA.

<sup>1</sup> Edward Tobinick MD; Hyman Gross MD, Clinical Professor of Neurology, USC; Alan Weinberger MD, Associate Clinical Professor of Medicine/Rheumatology; Hart Cohen MD, FRCPC, Associate Clinical Professor of Medicine/Neurology. *TNF Modulation for Treatment of Alzheimer's Disease: A 6-Month Pilot Study*. Medscape General Medicine, 2006. 8(2):25.

<sup>2</sup> Tobinick, E. and S. Davoodifar, *Efficacy of etanercept delivered by perispinal administration for chronic back and/or neck disc-related pain: a study of clinical observations in 143 patients*. *Curr Med Res Opin*, 2004. 20(7): p. 1075-85.

<sup>3</sup> Tobinick, E.L., *Targeted etanercept for treatment-refractory pain due to bone metastasis: two case reports*. *Clin Ther*, 2003. 25(8): p. 2279-88.

#### <sup>4</sup>ABOUT THE DANA ALLIANCE FOR BRAIN INITIATIVES

*More than 260 neuroscientists comprise the Alliance membership, joined by the common interest in advancing public awareness about brain research. Recognized as leaders in their respective fields, they are among the world's foremost authorities on neuroscientific research and clinical neurology topics. Each has made a personal commitment to support the mission of the Dana Alliance through active participation in outreach and educational activities. Among the current members, 10 have received the Nobel Prize. The 2007 Progress Report on Brain Research is available online on the website of the Dana Foundation, at [www.dana.org](http://www.dana.org).*

Disclaimer: The off-label treatment methods discussed herein have been detailed in reliable, peer-reviewed, scientific publications. These methods of off-label use and administration of certain TNF-alpha antagonists, including etanercept, for selected clinical disorders, including disc-related back and neck pain, sciatica, and Alzheimer's Disease, are patented inventions of the INR; all rights to these patented methods of treatment are retained exclusively by the INR. The INR conducts a private medical practice. U.S. patents 6015557, 6177077, 6419934, 6419944, 6471961, 6537549, 6982089, 7214658 and additional issued and pending U.S. and foreign patents.

## Terms of Use/Legal Notice

The off-label methods of use and administration of certain cytokine antagonists, including etanercept, for the selected clinical disorders which are discussed in this brochure are patented inventions of the Institute for Neurological Research®, a private medical group, inc. (abbreviated herein as INR); these methods are neither sponsored nor endorsed by UCLA; rather all rights to these patented methods of treatment are retained exclusively by the INR. U.S. patents 6015557, 6177077, 6419934, 6419944, 6471961, 6537549, 6982089, 7214658 and additional issued and pending U.S. and foreign patents. The INR conducts a private medical practice.

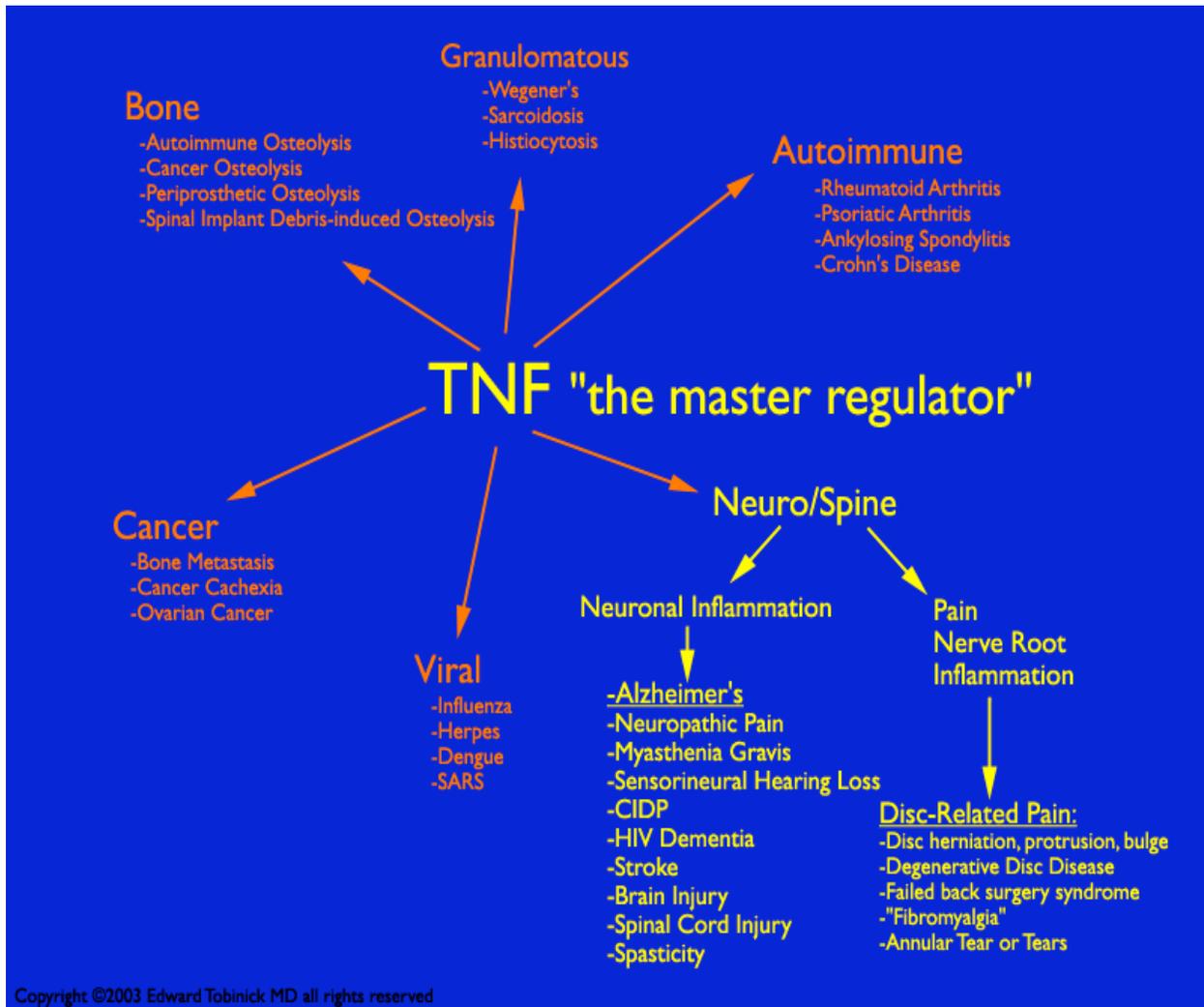
### Disclaimer

Medical opinions differ, and no representation is made that the information in this brochure represents current general medical opinion or the standard of medical care. See **California B&P 2234.1(4c)**: "Since the National Institute of Medicine has reported that it can take up to 17 years for a new best practice to reach the average physician and surgeon, it is prudent to give attention to new developments not only in general medical care but in the actual treatment of specific diseases, particularly those that are not yet broadly recognized in California". The information in this brochure represents the opinion of a pioneering medical practice, which is presented here following the publication of scientific, peer-reviewed, published, pilot studies, and multiple published peer-reviewed basic science studies, but prior to the performance of double-blind, placebo-controlled, clinical trials for the off-label indications discussed herein.

All medications have potential adverse effects. These side effects must be weighed against the possibility of beneficial therapeutic effects with regard to treatment of the underlying illnesses in question. The class of therapeutics known as biologic TNF inhibitors, which includes etanercept, have been associated with serious adverse reactions. Off-label status of a drug or biologic means, by definition, that this medication has not been established by the FDA to be either safe or effective for this off-label use, even if the medication has been FDA-approved for other medical uses. We cannot guarantee, nor do we mean to imply, by any of the information in this brochure, that any single medication will necessarily lead to clinical improvement if administered for any given new patient. Screening or physician evaluation at the INR does not guarantee that treatment will be recommended or undertaken by the INR physician. Treatment decisions are made individually. Contraindications to medical treatment at the INR may be determined at any stage in the evaluation process, even prior to physician physical examination. The information in this brochure should not be interpreted as a treatment recommendation for any given patient; treatment recommendations cannot be given by an INR® physician without patient consultation and examination.

### General Issues

Information in this brochure should not be interpreted as a recommendation to buy or sell any securities.



**Figure 1. TNF-alpha, the master regulator of the inflammatory response.** The INR has invented, refined, and pioneered unique therapeutic approaches which inhibit the inflammatory pathways mediated by the cytokine TNF-alpha.